

AGENDA

Audit, Compliance and Governance Committee
of Connecticut Innovations, Incorporated
470 James Street, Suite 8
New Haven, CT 06103

November 9, 2023 - Regular Meeting
10:00 a.m.

Virtual Meeting

Location [Virtual Meeting](#) **Phone 1-860-920-4401; Conference ID: 273 059 915 302#**

Committee Members: John Pavia, Chairman, Holly Williams, and Bob Eick

Board Members Invited: Michael Cantor, Chairman, Board of Directors

Staff Invited: Matt McCooe, Hil Scott, and Phil Siuta

Others invited: Michael Sabol, Jay Messina, MahoneySabol & Company

1. Call to Order
2. Roll Call of Members and Guests Present
3. Approval of the November 3, 2022, Meeting Minutes
4. Review of the Independent IT Security report by Whittlesey Advising (Issued June 2023)
5. Review of the State Auditors Draft Findings for the Fiscal Years ending June 30, 2021 & 2022. (Issued October 2023)
6. Presentation by MahoneySabol, CPA & Advisors on the FY 2023 Audit
7. Financial Statements: Recommendation to the Board of Directors of CT Innovations for Approval of the Draft Audited Financial Statements for the Fiscal Year Ending June 30, 2023, as presented.
8. Valuation Report: Review of the Portfolio Investment Valuation Policy and Acceptance as to the Valuation of Portfolio Investments as of June 30, 2023.
9. Executive Session with Michael Sabol and Jay Messina from MahoneySabol & Company.
10. Other Business
11. Adjournment