

## **AGENDA**

Audit, Compliance and Governance Committee  
of Connecticut Innovations, Incorporated

November 16, 2020 - Regular Meeting  
9:30 a.m.

***Connecticut Innovations, Incorporated***  
***470 James Street, Suite 8***  
***New Haven, CT 06103***

### ***Virtual Meeting***

**Committee Members:** John Pavia, Chairman, Chris Bandecchi and Mark Ojakian, (Ben Barnes, Designee)

**Board Members Invited:** Michael Cantor, Chairman, Board of Directors

**Staff Invited:** Matt McCooe, Hil Scott, Phil Siuta, & Lisa Day

**Others invited:** Mario Solari and Lindsay Tessler, Whittlesey Advising

1. Call to Order
2. Roll Call of Members and Guests Present
3. Approval of the February 18, 2020 Meeting Minutes
4. Presentation by Whittlesey Advising on the FY 2020 Audit
5. Financial Statements: Recommendation to the Board of Directors of CT Innovations for Approval of the Draft Audited Financial Statements for the Fiscal Year Ending June 30, 2020.
6. Valuation Report: Overview of the Process and Acceptance of the Report of the Valuation Committee as to the Valuation of Portfolio Investments as of June 30, 2020.
7. Executive Session with Public Accountants.
8. Other Business
9. Adjournment

Virtual Meeting Access: [https://teams.microsoft.com/l/meetup-join/19%3ameeting\\_MDUxMDhkYzUtMzMyMy00NWQ2LWEwNzEtZGY3MzE3NGYxZTAy%40thread.v2/0?context=%7b%22Tid%22%3a%2273db2f3c-3396-46c3-a15e-cb6d131b472d%22%2c%22Oid%22%3a%2296600e38-05b8-4d0c-bc75-21348eb5efef%22%7d](https://teams.microsoft.com/l/meetup-join/19%3ameeting_MDUxMDhkYzUtMzMyMy00NWQ2LWEwNzEtZGY3MzE3NGYxZTAy%40thread.v2/0?context=%7b%22Tid%22%3a%2273db2f3c-3396-46c3-a15e-cb6d131b472d%22%2c%22Oid%22%3a%2296600e38-05b8-4d0c-bc75-21348eb5efef%22%7d)

Phone Meeting Access: [+1 860-920-4401](tel:+18609204401) Conference ID: 218 129 826#