

OPERATIONAL SUB-COMMITTEE
of the Regenerative Medicine Research Fund
Regular Meeting
Tuesday, March 27, 2018

A regular meeting of the Regenerative Medicine Research Fund (RMRF) Operational Sub-Committee (the "Sub-Committee") was held on Tuesday, March 27, 2018 at the office of Connecticut Innovations, Inc. ("CI"), 865 Brook Street, Rocky Hill, Connecticut.

Call to Order: Noting the presence of a quorum, the Sub-Committee meeting was called to order at 12:01 p.m.

Sub-Committee members present: Mark Tomishima, Ph.D. (by phone); and Ronald Hart, Ph.D. (by phone) Rosalba Sacca (By phone).

Other Attendees: Whitney Brown (CI, by phone); David Wurzer (CI); Leslie Larson (CI); Allison Bonds (Yale, by phone) Isolde Bates (UConn, by phone).

Approval of Minutes:

Mr. Wurzer, Executive Vice President and Chief Investment Officer, and acting Chairperson and Secretary of the meeting, asked the Sub-Committee members to consider the minutes from the January 18, 2018 Sub-Committee meeting.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of adopting the minutes from the January 18, 2018 meeting.

Operational Action Items:

Annual Reports:

The Sub-Committee members considered approval of the following annual reports:

- 15-RMA-YALE-32 Gupta Annual Report (and Financial Report)
- 15-RMA-YALE-09 Crews Annual Report (and Financial Report)
- 15-RMA-Yale-22 Van den Pol Annual Report (and Financial Report)
- 15-RMB Yale 03 Guo Annual Report (and Financial Report)

15-RMB-YALE-01 Crews Annual Report (and Financial Report)
15-RMB-YALE-02 Cafferty Annual Report (and Financial Report)
15-RMB-YALE-04 Hirschi Annual Report (and Financial Report)
15-RMB-YALE-13 Zhou Annual Report (and Financial Report)
15-RMB-UCHC-04 Covault Annual Report (and Carryover Request)
15-RMB-MCT-01 Xian Annual Report (and No Cost Extension including subcontracts)
14-SCB-YALE-11 Xiao Revised Annual Report
12-SCB-Yale-05 Kocsis Annual Report (and financials)

The Sub-Committee did not receive the annual report for 15-RMA-YALE-09 Crews. The Sub-Committee will need to further review the detailed report prior to approval.

Upon a motion made by Dr. Hart, seconded by Dr. Sacca, the Operational Sub-Committee members voted unanimously in favor of approving annual reports as listed above, by consent vote, except for 15-RMA-YALE-09 Crews.

Final Reports:

The Sub-Committee members considered approval of the following final reports:

15-RMB-YALE-31 Dell'Anno Final Report (and Financial Report)
13-SCB-YALE-06 Park Final Report (and Financial Report)
15-RMA-UCONN-04 Lees-Shepard Final Report (and Financial Report)
15-RMA-UCHC-03 Hao Final Report (and Financial Report)

The Sub-Committee requested that the 13-SCB-YALE-06 Park final report be re-submitted in less technical language.

Upon a motion made by Dr. Hart, seconded by Dr. Sacca, the Operational Sub-Committee members voted unanimously in favor of approving annual reports as listed above, by consent vote, except for 13-SCB-YALE-06 Park.

Revised Budget Request:

The Sub-Committee members considered approval of the following revised budget request:

16-RMB-UCONN-04 Nelson Rebudget Request
16-RMA-YALE-13 Wolfswinkel Rebudget Request

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted unanimously in favor of approving the revised budget requests for 16-RMB-UCONN-04 Nelson and 16-RMA-YALE-13 Wolfswinkel.

Carryover Request:

The Sub-Committee members considered approval of the following carryover request:

14-SCC-Yale-01 Craft Carryover Request (Budget previously approved)

Upon a motion made by Dr. Sacca, seconded by Dr. Hart, the Operational Sub-Committee members voted unanimously in favor of approving the carryover request for 14-SCC-Yale-01 Craft.

Other Business: Mr. Wurzer mentioned the 2018 Tri-Institutional Stem Cell Retreat on April 20th on Yale's West Campus from 9:00 a.m. – 2:00 p.m. that was included in the mailing.

Dr. Tomishima recommended securing IP protection for files 14-SCB-YALE-11 Xiao and 16-RMB-YALE-13 Zhou. Ms. Bonds agreed to convey the information to the researchers.

Adjournment:

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of adjourning the meeting at 12:15 p.m.

Respectfully Submitted,



David M. Wurzer
Executive Vice President and Chief Investment Officer
Connecticut Innovations, Inc.,
Acting Chairperson