

OPERATIONAL SUB-COMMITTEE
of the Regenerative Medicine Research Fund
Regular Meeting
Tuesday, December 19, 2017

A regular meeting of the Regenerative Medicine Research Fund (RMRF) Operational Sub-Committee (the "Sub-Committee") was held on Tuesday, December 19, 2017 at the office of Connecticut Innovations, Inc. ("CI"), 865 Brook Street, Rocky Hill, Connecticut.

Call to Order: Noting the presence of a quorum, the Sub-Committee meeting was called to order at 12:01 p.m.

Sub-Committee members present: Mark Tomishima, Ph.D. (by phone); and Ronald Hart, Ph.D. (by phone).

Other Attendees: Whitney Brown (CI, by phone); David Wurzer (CI); Leslie Larson (CI) Gail D'Amico (UCHC, by phone), Allison Bonds (Yale, by phone).

Approval of Minutes:

Mr. Wurzer, Executive Vice President and Chief Investment Officer, and acting Chairperson and Secretary of the meeting, asked the Sub-Committee members to consider the minutes from the October 17, 2017 Sub-Committee meeting.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of adopting the minutes from the October 17, 2017 meeting.

Operational Action Items:

Final Reports:

The Sub-Committee members considered the following final reports:

- 13-SCB-UCHC-05 Chhabra Final Report
- 13-SCB-UCHC-15 Lalande Final Report
- 14-SCA-YALE-22 Katz Final Report

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted unanimously in favor of approving the final report for 13-SCB-UCHC-05 Chhabra, 13-SCB-UCHC-15 Lalande and 14-SCA-YALE-22 Katz.

Annual Reports:

The Sub-Committee members considered approval of the following annual reports:

- 14-SCDIS-UHC-01 Loew Annual Report
- 14-SCDIS-UHC-01 Levine Annual Report
- 14-SCDIS-UHC-01 Chamberlain Annual Report (associated carryover request)
- 14-SCB -UHC-02 Finck Annual Report (associated carryover request)
- 14-SCB-YALE-10 Zhong Annual Report
- 14-SCB-UHC-11 Ma Annual Report (associated carryover request)
- 14-SCB-YALE-16 Ivanova Annual Report

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted unanimously in favor of approving the annual reports as presented.

Revised Budget Request:

The Sub-Committee members considered approval of the following revised budget request:

- 15-RMB-YALE-07 Nikalson Rebudget Request
- 15-RMA-YALE-09 Crews Rebudget Request (associated No Cost Extension)

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted unanimously in favor of approving the revised budget request for 15-RMB-YALE-07 Nikalson Rebudget Request and 15-RMA-YALE-09 Crews Rebudget Request (associated No Cost Extension).

No Cost Extension Requests:

The Sub-Committee members considered approval of the following no cost extension request:

- 15-RMA-YALE-02 Cafferty No Cost Extension Request
- 15-RMA-YALE-06 Coppola No Cost Extension Request
- 15-RMA-YALE-32 Gupta No Cost Extension Request

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted unanimously in favor of approving the no cost extensions for one year for 15-RMA-YALE-02 Cafferty, 15-RMA-YALE-06 Coppola and 15-RMA-YALE-32 Gupta.

Change of PI:

16-RMA-YALE -28 Waxman PI Change (rebudget request)

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted unanimously in favor of approving the change of PI rebudget request for 16-RMA-YALE -28 Waxman.

Other Business:

The Sub-Committee members considered approval of the following no cost extension request:

15-RMA-UCONN-02 Burke

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted unanimously in favor of approving the no cost extension for one year for 15-RMA-UCONN-02 Burke.

Mr. Wurzer indicated that Rosalba Sacca, a new member of this committee will participate in the January 18, 2018 meeting.

Adjournment:

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of adjourning the meeting at 12:11 p.m.

Respectfully Submitted,



David M. Wurzer
Executive Vice President and Chief Investment Officer
Connecticut Innovations, Inc.
Acting Chairperson