

**Operational Sub-Committee of the
Regenerative Medicine Research Fund
Regular Meeting
Tuesday, September 20, 2016**

A regular meeting of the **Regenerative Medicine Research Fund (RMRF) Operational Sub-Committee** (the "Sub-Committee") was held on Tuesday, September 20, 2016, at the office of Connecticut Innovations, Inc. ("CI"), 865 Brook Street, Rocky Hill, Connecticut.

Call to Order: Noting the presence of a quorum, the Sub-Committee meeting was called to order at 9:11 a.m.

Sub-Committee members present: Mark Tomishima, Ph.D. (by phone); and Ronald Hart, Ph.D. (by phone).

Other Attendees: David Wurzer (CI); Ariel Drew (CI); Leslie Larson (CI); Isolde Bates (UCHC, by phone); Allison Bonds (Yale, by phone).

Approval of Minutes:

Mr. Wurzer, Executive Vice President and Chief Investment Officer, and acting Chairperson and Secretary of the meeting, asked the Sub-Committee members to consider the minutes from the August 16, 2016 Sub-Committee meeting.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of adopting the minutes from the August 16, 2016 meeting. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Operational Approvals:

Final Reports

The Sub-Committee members considered the following final reports for a consent agenda vote:

- 13-SCA-YALE-06 Shadel
- 13-SCA-YALE-27 Ruddle

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the final reports as listed above, by consent vote. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Annual Reports

The Sub-Committee members considered the following annual reports for a consent agenda vote:

- 13-SCB-UCHC-06 Heinen
- 13-SCB-UCHC-05 Chhabra
- 13-SCA-YALE-05 Yun
- 13-SCDIS-ISB-01 Wang

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the annual reports as listed above, by consent vote. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Personnel Change

The Sub-Committee members considered the following personnel change:

- 15-RMA-YALE-09 Flowers

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the personnel change for project 15-RMA-YALE-09, Flowers, by consent vote. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Extension & Carryover Requests

The Sub-Committee members considered the following extension and carryover requests for a consent agenda vote:

- 12-SCB-YALE-05 Kocsis
- 12-SCDIS-YALE-01 Redmond
- 13-SCB-UCHC-06 Heinen
- 13-SCB-UCHC-05 Chhabra
- 13-SCDIS-ISB-01 Wang

The Sub-Committee agreed to approve the extension requests for up to one year, with a minimum of a 6 month extension, in an effort to avoid future extension requests to be submitted per project.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the extension and carryover requests as listed above, by consent vote. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Revised Budget Requests

The Sub-Committee members considered the following revised budget requests for a consent agenda vote:

- 12-SCB-UCONN-02 Lai (Effort reduction)
- 16-RMB-UCHC-10 Kalajzic
- 16-RMB-UCONN-02 Lai
- 16-RMB-YALE-04 Park
- 16-RMB-YALE-07 Ivanova

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the revised budget requests as listed above, by consent vote. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Adjournment:

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of adjourning the meeting at 9:20 a.m. VOTE: 2-0-0 (In favor: Tomishima, Hart).

Respectfully Submitted,



David M. Wurzer, Executive Vice President and Chief Investment Officer
Connecticut Innovations, Inc.
Acting Chairperson