

**Operational Sub-Committee of the
Regenerative Medicine Research Fund
Regular Meeting
Wednesday, March 16, 2016**

A regular meeting of the **Regenerative Medicine Research Fund (RMRF) Operational Sub-Committee** (the "Sub-Committee") was held on Wednesday, March 16, 2016, at the office of Connecticut Innovations, 865 Brook Street, Rocky Hill, Connecticut.

Call to Order: Noting the presence of a quorum, the Sub-Committee meeting was called to order at 1:02 p.m.

Sub-Committee members present: Mark Tomishima, Ph.D. (by phone); and Ronald Hart, Ph.D. (by phone).

Other Attendees: David Wurzer (CI); Ariel Drew (CI); Whitney Brown, Ph.D. (CI, by phone); Ewa Lech (Yale, by phone) Isolde Bates (UCHC, by phone).

Approval of Minutes:

Mr. Wurzer, Executive Vice President and Chief Investment Officer, and acting Chairperson and Secretary of the meeting, asked the Sub-Committee members to consider the minutes from the January 27, 2016 Sub-Committee meeting.

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of adopting the minutes from the January 27, 2016 meeting. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Operational Approvals:

Final Reports

The Sub-Committee members considered the following final reports for a consent agenda vote:

- 11SCB04 Carmichael (UCHC)
- 13-SCA-YALE-32 Bartulos
- 13-SCA-YALE-20 Greco
- 13-SCA-YALE-04 Thomas
- 13-SCA-YALE-23 Waxman
- 13-SCA-UCHC-11 Guzzo
- 11SCB19 Wolin (Yale)
- 11-SCB-UCHC-08 Drissi

The Sub-Committee pointed out that throughout final reports, the incorrect funding source was cited. The Sub-Committee requested that specific reports be revised, stating that the funding source for the above projects were awarded by the CT Regenerative Medicine Research Fund (RMRF).

A discussion ensued regarding reporting details; including intellectual property reporting, and lay summary language. It was suggested that going forward, a listing of nomenclature be compiled of language that is not acceptable for lay summary standards.

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the final reports as listed above, by consent vote. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Revised Budget Request

The Sub-Committee members considered the following revised budget request:

- 14-SCB-UCHC-11 Ma

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the revised budget request for grant 14-SCB-UCHC-11, Ma. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Personnel Request

The Sub-Committee members considered the following personnel request:

- 15-RMA-YALE-22 van den Pol

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the personnel request for grant 15-RMA-YALE-22, van den Pol. VOTE: 2-0-0 (In favor: Tomishima and Hart).

No Cost Extension Request

The Sub-Committee members considered the following no cost extension request:

- 14-SCA-UCHC-02 Matthews

A discussion ensued regarding the flexibility and requirements of extension requests. The RMRF team asked if going forward, restrictions to extension requests should be made. There was general consensus by the Sub-Committee that in the future, "no cost" (time only) extension requests are permissible for a recommended time of 1 year, with a minimum extension period of 6 months. No cost extension requests will be allowable once per award.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the no cost extension request for grant 14-SCA-UCHC-02, Matthews, for up to 6 months extension. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Carryover Request

The Sub-Committee reviewed the justification for the carryover request, as requested and discussed at the January 27th meeting, for grant 14-SCC-YALE-01, Craft.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the carryover request for grant 14-SCC-YALE-01, Craft. VOTE: 2-0-0 (In favor: Tomishima and Hart).

Other Business:

Mr. Wurzer stated that the RMRF team is working to obtain a third member to the RMRF Sub-Committee, who is not conflicted to RMRF awards or awarded institutions. The Sub-Committee provided suggestions as to possible full Committee members that could be considered for the Sub-Committee.

Adjournment:

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of adjourning the meeting at 1:15 p.m. VOTE: 2-0-0 (In favor: Tomishima, Hart).

Respectfully Submitted,



David M. Wurzer Executive Vice President and Chief Investment Officer
Acting Chairperson
Connecticut Innovations