

**Operational Sub-Committee of the
Regenerative Medicine Research Fund
Regular Meeting
Wednesday, January 27, 2016**

A regular meeting of the **Regenerative Medicine Research Fund (RMRF) Operational Sub-Committee** (the "Sub-Committee") was held on Wednesday, January 27, 2016, at the office of Connecticut Innovations, 865 Brook Street, Rocky Hill, Connecticut.

Call to Order: Noting the presence of a quorum, the Sub-Committee meeting was called to order at 2:04 p.m.

Sub-Committee members present: Sandra Engle, Ph.D. (by phone); Mark Tomishima, Ph.D. (by phone); and Ronald Hart, Ph.D. (by phone).

Other Attendees: David Wurzer (CI); Ariel Drew (CI); Leslie Larson (CI); Whitney Brown, Ph.D. (CI, by phone); Ewa Lech (Yale, by phone) Isolde Bates (UCHC, by phone), and Sarah Morrill (Yale, by phone).

Approval of Minutes

Mr. Wurzer, Executive Vice President and Chief Investment Officer, and acting Chairperson and Secretary of the meeting, asked the Sub-Committee members to consider the minutes from the December 15, 2015 Sub-Committee meeting.

Upon a motion made by Dr. Tomishima, seconded by Dr. Engle, the Operational Sub-Committee members voted in favor of adopting the minutes from the December 15, 2015 meeting. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

Operational Update

Mr. Wurzer notified the Sub-Committee of Dr. Cartiera's departure from Connecticut Innovations. He stated that he will have administrative oversight of RMRF during the transition process.

Mr. Wurzer stated that the strategic retreat for the full Committee is scheduled for Wednesday, February 10, 2016. The objective of the retreat is to provide the Committee with recommendations in order to create a strategic plan for the Fund going forward, as required in the RMRF legislation.

Operational Approvals

Annual Reports

The Sub-Committee members considered the following annual reports for a consent agenda vote:

- 14-SCB-UCHC-02 Finck
- 14-SCC-YALE-01 Craft
- 14-SCB-YALE-16 Ivanova
- 14-SCB-YALE-11 Xiao
- 14-SCB-YALE-10 Zhong
- 14-SCA-YALE-20 Liu
- 14-SCA-YALE-18 Rizzolo
- 14-SCB-YALE-17 Min
- 14-SCA-YALE-22 Katz
- 14-SCD-YALE-01 Lin
- 13-SCB-UCHC-15 Lalande
- 14-SCA-UCHC-02 Matthews
- 14-SCB-UCHC-11 Ma
- 14-SCDIS-UCHC-01 Chamberlain
- 13-SCA-UCHC-03 Bezsonova
- 14-SCA-YALE-18 Ghaedi
- 14-SCA-YALE-30 Chandra
- 10SCB30 (UCHC) Li
- 13-SCA-YALE-15 Yao

Upon a motion made by Dr. Hart, seconded by Dr. Engle, the Operational Sub-Committee members voted in favor of approving the annual reports as listed above, by consent vote. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

A discussion ensued regarding the completion of the intellectual property (IP) section in the reporting templates. The RMRF team will request the university contact's assistance in emphasizing to awardees that IP strategy must be addressed in an annual/final report.

Carryover Requests

The Sub-Committee considered the carryover request for grant 14-SCC-YALE-01, Craft. Concern was expressed regarding the dollar amount of the carryover, and justification of the request. The Sub-Committee agreed that a detailed justification of the carryover request is needed prior to approval.

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of tabling the carryover request for grant 14-SCC-YALE-01, Craft, until additional justification is provided. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

The Sub-Committee members considered the following carryover requests for a consent agenda vote:

- 14-SCB-UHC-02 Finck
- 13-SCB-UHC-15 Lalande
- 14-SCA-UHC-02 Matthews
- 14-SCB-UHC-11 Ma
- 14-SCDIS-UHC-01 Chamberlain
- 12-SCB-YALE-01 Horsley

Upon a motion made by Dr. Hart, seconded by Dr. Engle, the Operational Sub-Committee members voted in favor of approving the carryover requests as listed above, by consent vote. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

Revised Budget Request

- 14-SCB-UHC-11 Ma

Upon a motion made by Dr. Tomishima, seconded by Dr. Engle, the Operational Sub-Committee members voted in favor of approving the revised budget request for grant 14-SCB-UHC-11, Ma. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

Personnel Request

- 14-SCA-UHC-02 Matthews

Upon a motion made by Dr. Hart, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the

personnel request for grant 14-SCA-UHC-02, Matthews. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

No Cost Extension Request

- 11SCDIS02 (UCONN) Rasmussen

The Sub-Committee expressed concern regarding the request, as it is the second no cost extension request submitted for this project.

Upon a motion made by Dr. Engle, seconded by Dr. Tomishima, the Operational Sub-Committee members voted in favor of approving the no cost extension request for grant 11SCDIS02, Rasmussen, contingent upon no additional extension requests for this project be permissible in the future. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

Other (new awards pending contract signature)

- 15-RMB-MCT-01 Xian – Relocation/personnel – Response Letter

The Sub-Committee discussed the response letter received from the company in regards to the relocation/personal request. There was consensus that the proposed plan for the 2015 award going forward was acceptable.

Upon a motion made by Dr. Engle, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the relocation/personnel request for grant 15-RMB-MCT-01, Xian. VOTE: 3-0-0 (In favor: Engle, Tomishima and Hart).

Other Business

- 2016 AAAS Peer Review Form

The Sub-Committee members reviewed the proposed 2016 peer review form. It was stated that the revised form incorporated the Committee's suggestions/feedback that were given after the 2015 RFP review cycle.

Upon a motion made by Dr. Tomishima, seconded by Dr. Hart, the Operational Sub-Committee members voted in favor of approving the 2016 peer review form. VOTE: 2-0-1 (In favor: Tomishima, Hart; Abstained: Engle).

Adjournment:

Upon a motion made by Dr. Hart, seconded by Dr. Engle, the Operational Sub-Committee members voted in favor of adjourning the meeting at 2:33 p.m. VOTE: 3-0-0 (In favor: Tomishima, Engle and Hart).

Respectfully Submitted,



David Wurzer, Executive Vice President and Chief Investment Officer
Acting Chairperson
Connecticut Innovations