

**AGENDA**

Audit, Compliance and Governance Committee  
of Connecticut Innovations, Incorporated

Monday, October 27, 2014 - Regular Meeting  
9:00 a.m.

***Connecticut Innovations***  
***865 Brook Street***  
***Rocky Hill, CT 06067***

**Committee Members:** Alan Greene (Chair), Christine Shaw, & Chris Bandecchi

**Board Members Invited:** Michael Cantor

**Staff Invited:** Claire Leonardi, Phil Siuta, Hil Scott, Matt Panicali, Tracey Tribuzio, Jamie Rinaldi, Carolyn Hawkins & Lisa Day

**Others invited:** John Schuyler, William Sawicki, and Gary Smith of Marcum LLP

1. Call to Order
2. Approval of the May 29, 2014 Meeting Minutes
3. Presentation by Marcum LLP on the 2014 Audit
4. Valuation Report: Overview of the Process and Acceptance of the Report of the Valuation Committee as to the Valuation of Portfolio Investments
5. Financial Statements: Recommendation to the Board of Directors of CT Innovations for Approval of the Draft Audited Financial Statements for the Fiscal Year Ending June 30, 2014
6. Executive Session with Public Accountants
7. Other Business
8. Adjournment